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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 13414/314

First Inventor Paul Eusterbrock

Title FLOOR LAMP HAVING IMPROVED DIMMER  
CONFIGURATION

Express Mail Label No. EV 149921894 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: MS Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-14501. ☒ \*Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)2. ☒ Applicant claims small entity status. (See 37 CFR 1.27)3. ☒ Specification Total Pages (8)

(preferred arrangement set forth below)

- Descriptive title of the invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D

- Reference to Microfiche Appendix

- Background of the Invention

- Brief Summary of the Invention

- Brief Description of the Drawings (if filed)

- Detailed Description

- Claim(s)

- Abstract of the Disclosure

4. ☒ Formal Drawing(s) (35 U.S.C. 113) Total Sheets (4)5. ☒ Oath or Declarationa. ☒ Unexecuted Declarationb. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))i. ☐ DELETION OF INVENTOR(S)Signed statement attached deleting inventor(s) named in the prior  
application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).6. ☐ Application Data Sheet. See 37 CFR 1.767. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)8. Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)a. ☐ Computer Readable Copy

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); orii. ☐ Paperc. ☐ Statements verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**9. ☐ Assignment Papers (cover sheet & document(s))10. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney11. ☐ English Translation Document (if applicable)12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations13. ☐ Preliminary Amendment14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)16. ☐ Other: \_\_\_\_\_17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application  
Data Sheets under 37 CFR 1.76:☐ Continuation☐ Divisional☐ Continuation-in-part (CIP)

of prior application no.: \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is  
considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation  
can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**18. CORRESPONDENCE ADDRESS**☒ Customer No. or Bar Code Label

34205

or ☐ Correspondence address below

(insert Customer No. or Attach bar code label here)

Address Oppenheimer Wolff & Donnelly LLP  
45 South Seventh Street, Suite 3300

City Minneapolis State Minnesota Zip Code 55402-1609

Country US Telephone 612.607.7398 Fax 612.607.7100

Name (Print/Type) Craig J. Lervick Registration No. (Attorney/Agent) 35,244

Signature



Date June 20, 2003

**FEE TRANSMITTAL**

**for FY 2003**

*Patent fees are subject to annual revision.*

*Complete if Known*

Application Number	
Filing Date	Herewith
First Named Inventor	Paul Eusterbrock
Examiner Name	
Group Art Unit	

**TOTAL AMOUNT OF PAYMENT**

**\$375.00**

Attorney Docket No.: 13414/314

**METHOD OF PAYMENT**

1. ☒ Authorized to charge indicated fees or credit

Deposit Acct No. Name: **50-1901**  
**Oppenheimer Wolff & Donnelly LLP**

☐ Charge Any Add'l Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant Claims Small Entity

2.        Payment Enclosed:  
Check        Credit

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Fee	Small Fee	Fee description	Fee Paid
750	375	Utility filing fee	\$375.00
330	165	Design filing fee	
520	260	Plant filing fee	
750	375	Reissue filing fee	
160	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>\$375.00</b>

**2. EXTRA CLAIM FEES**

Claims	Extra Claims	Fee	Fee Paid
16 - 20	0	18/9	\$0.00
Ind. 2-3 =	0	84/42	\$0.00
Multiple Dependent		280/140	

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim
84	42	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>\$0.00</b>

**3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	Filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,480*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,960	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	640	Petition to revive - unintentional	
1501	1,280	2501	640	Utility issue fee (or reissue)	
1502	460	2502	230	Design issue fee	
1503	620	2503	310	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	740	2809	370	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	740	2810	370	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify) _____					
*Reduced by Basic Filing Fee					
<b>SUBTOTAL (3)</b>					<b>\$0.00</b>

\*\*or number previously paid, if great; For Reissues, see above

**SUBMITTED BY**

Name	Craig J. Lervick	Registration No.: 35,244	
Signature			

*Complete (if applicable)*

Telephone: (612) 607-7387	
Date: June 20, 2003	

UTILITY PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Paul Eusterbrock  
Filed : Herewith  
Title : FLOOR LAMP HAVING IMPROVED  
DIMMER CONFIGURATION  
Docket No : 13414/314

**CERTIFICATE OF EXPRESS MAIL**

EXPRESS MAIL Mailing Label Number: EV 159921894 US  
Date of Deposit: June 20, 2003

I hereby certify that these papers and fee(s) are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Paper(s) Enclosed:

- ☒ Certificate of Express Mail
- ☒ Postcard
- ☒ Application Transmittal
- ☒ Fee Transmittal
- ☒ Unexecuted Declaration & Power of Attorney
- ☒ Utility Application, including: specification (4 pgs.), claims (3 pgs.) and abstract (1)
- ☒ Formal Drawings (4 sheets)

By: \_\_\_\_\_

*Janet Byrne*  
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